

# Suppression of $2\pi$ phase-slips due to hidden zero modes in one dimensional topological superconductors

**(arXiv:1209.2161)**

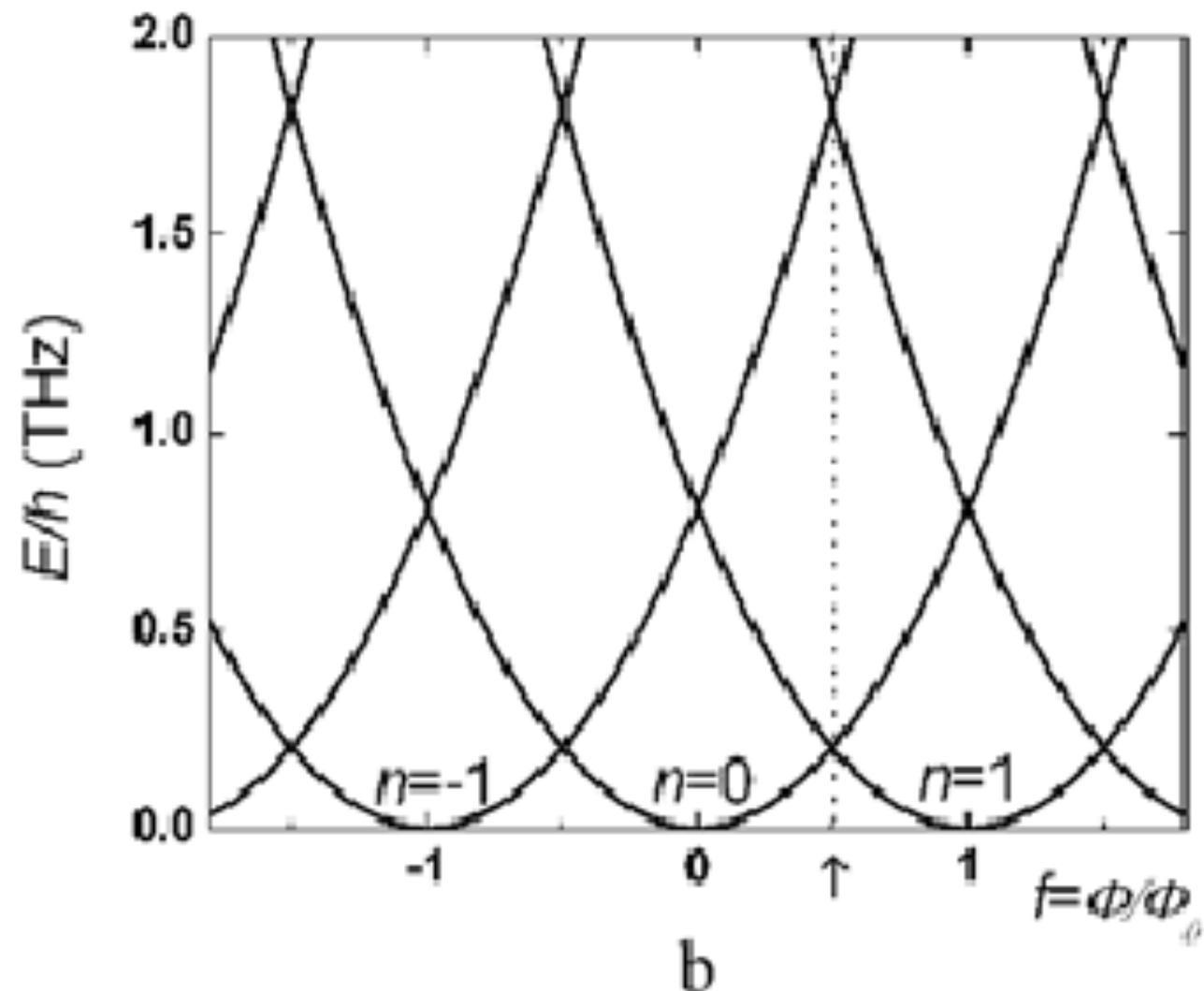
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# Main result

Phase slips in topological superconductors are peculiar for the reason that they occur in multiples of  $4\pi$  (instead of  $2\pi$  in conventional superconductors)...

...we re-establish this fact via a beautiful analogy to the particle physics concept of dynamic symmetry breaking by explicitly finding a "hidden" zero mode in the fermion spectrum computed in the background of a  $2\pi$  phase-slip (the "missing meson" problem of quantum chromodynamics)

# Quantum Phase Slips (QPS)

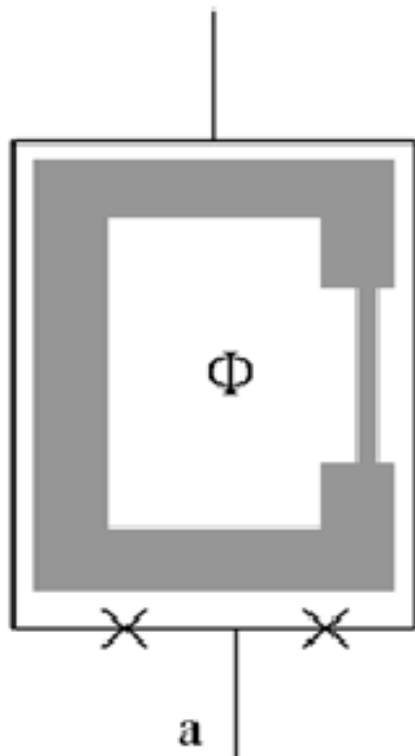


$$n\Phi_0 = \Phi - L_g I_s - L_k I_s$$

$$L_k I_s = \frac{\Phi_0}{2\pi} \gamma$$

$$\gamma = 2\pi(f - n)$$

$$E = \frac{\Phi_0^2}{2L_k} (f - n)^2$$



A. J. E. Mooij and Yu. V. Nazarov, *Nature Phys.* **2**, 169 (2006)

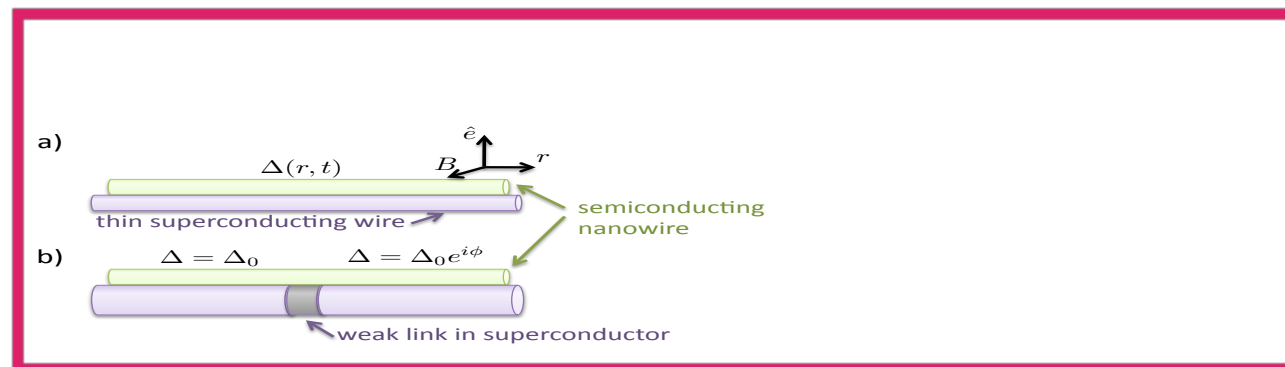
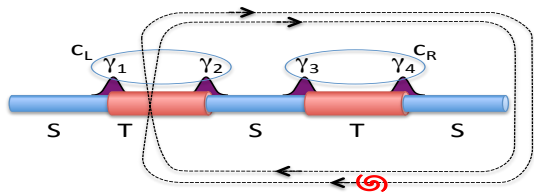
[O. V. Astafiev](#) et al., *Nature* **484**, 355 (19 April 2012)

J. Mooij and C. Harmans, *New J. Phys.* **7**, 219 (2005)

# Phase slips in a topological qubit device

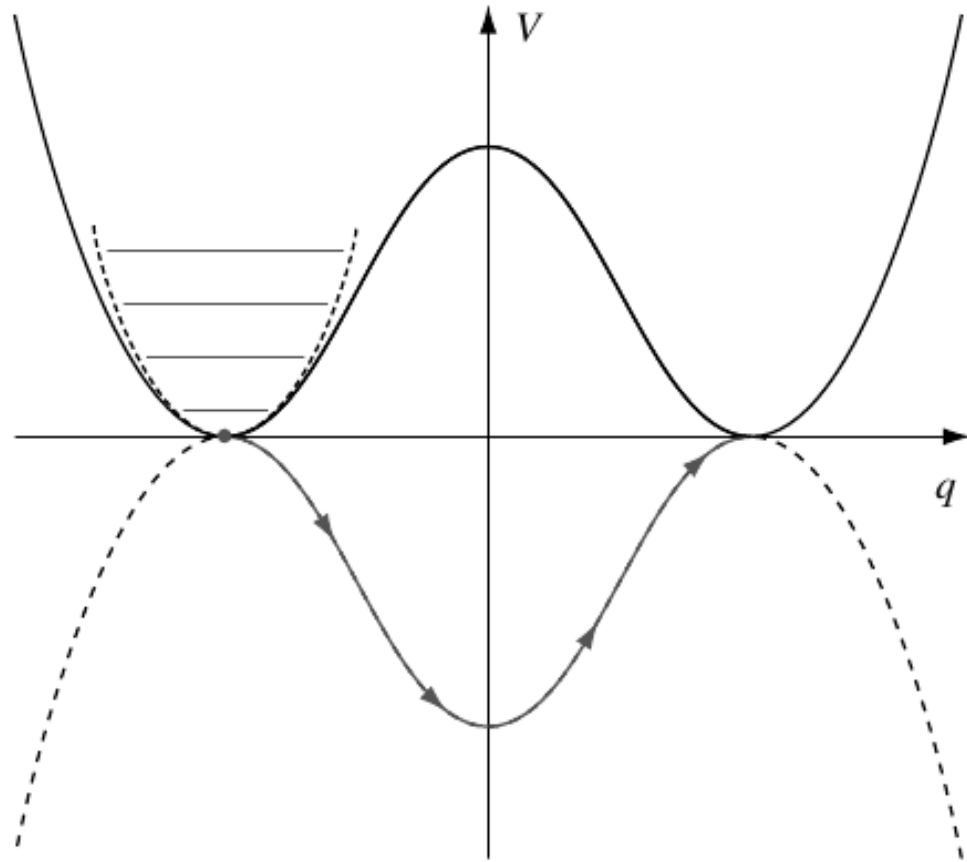
$2\pi$ -PS decohere the qubit

$4\pi$ -PS do nothing





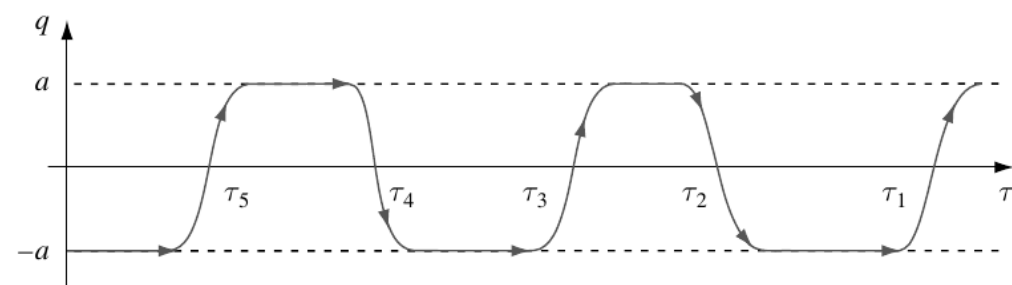
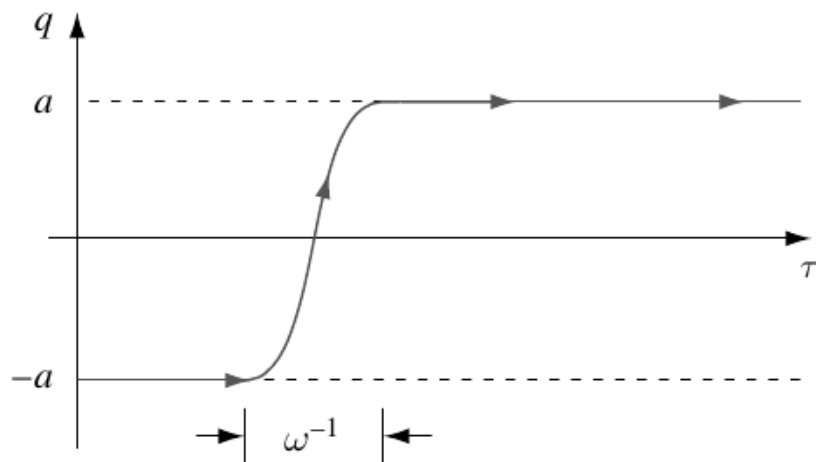
# Tunneling and instanton gas



$$G(-a, a, \tau) = \int Dq \exp \left[ -\frac{1}{\hbar} \int_0^\tau \left( \frac{m}{2} \dot{q}^2 + V(q) \right) \right]$$

$$-m\ddot{q} + V'(q) = 0$$

$$S_{\text{in}} = \int_{-a}^a dq \sqrt{2mV(q)}$$

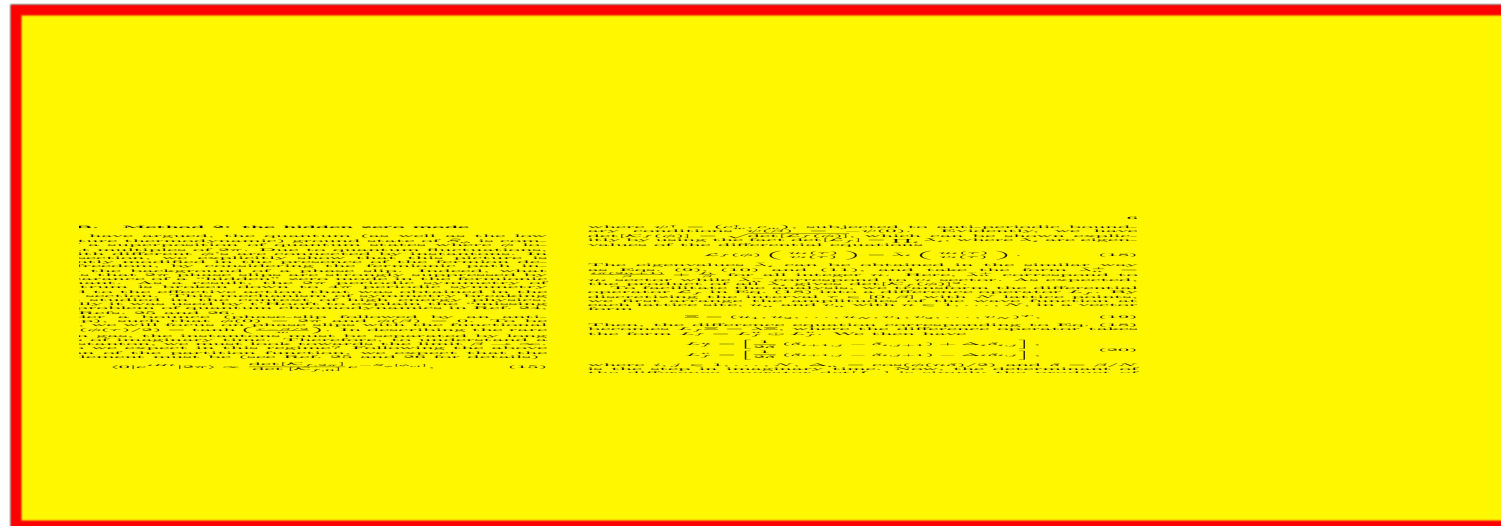


$$E_S = 2 \left( \int d\tau m \dot{q}_{\text{in}}^2 / 2\pi \right)^{1/2} (D')^{-1/2} e^{-S_{\text{in}}}$$

$$D' = \det'(\delta^2 \mathcal{S} / \delta \phi^2 |_{\text{in}}) / \det(\delta^2 \mathcal{S} / \delta \phi^2 |_0)$$

R. Rajaraman, *Solitons and Instantons*

# Method II: The hidden zero-mode



## B. Method 2: the hidden zero mode

As we have argued, the quantum (as well as the low temperature (classical)) ground states of  $S_{\text{eff}}$  is composed of a superposition of quantum states whose  $\phi$  is called an instanton. For us, an instanton is a configuration of  $\phi$  with different  $\phi$ 's are connected by instantons. In this subsection, we establish to show that this instanton is significantly modified in the presence of the fermion degree of freedom. For instance, the instanton path integral in the background of a phase slip. Indeed, what we find is that the fermion zero mode in the fermionic determinant is a result of the fermion zero mode of the instanton. This result was first obtained in the context of high energy physics. The instanton zero mode was first studied in the context of high energy physics. The instanton zero mode was first studied in the context of high energy physics. The instanton zero mode was first studied in the context of high energy physics.

Consider a bounce (phase-slip) followed by an anti-bounce, such that  $\phi(0) = 2\pi$  and  $\phi(\beta) = 0$ . In concrete, we will focus on phase slips with the functional form  $\cos(\phi(t)/2) = \cos(\pi t/\beta)$ . In describing the phase instanton  $\phi(t)$ , the instantons must be separated by long stretches of boundary time. Therefore, for independent single instanton, we must look towards the limit  $\beta \rightarrow \infty$ . Note that we assume in this section. Following the above discussion of the partition function, we expect that the matrix element must be given by (see details)

$$\langle 0 | e^{H\beta} | 2\pi \rangle \propto \frac{\det[\mathcal{K}_{F,2\pi}]}{\det[\mathcal{K}_{F,0}]} e^{-S_{\text{eff}}(\phi_{\text{cl}})}, \quad (15)$$

where  $\mathcal{K}_{F,2\pi}$  ( $\mathcal{K}_{F,0}$ ) is the fermionic density operator in the presence (absence) of a bounce.  $\mathcal{K}_{F,0}$  is necessary for normalization. In what follows, we will use the subscript  $\nu_i(\nu)$  to indicate operators in the presence (absence) of a bounce. The ratio of the determinants is

$$\frac{\det[\mathcal{K}_{F,2\pi}]}{\det[\mathcal{K}_{F,0}]} = \cosh\left(\frac{1}{2} \int_0^\beta \cos(\phi(t)/2) dt\right), \quad (16)$$

which becomes  $\sim e^{-N/2}$  in the limit  $\beta \rightarrow \infty$ , since with the bounce, the integrand in the numerator will be negative for a large part of the interval  $[0, \beta]$ , and thus  $\int_0^\beta \cos(\phi(t)/2) dt$  is a "hidden" zero mode in the fermionic determinant. We first rewrite the fermionic action, Eq. (8), in a closed form

$$S_F(\psi) = \int dt \psi^\dagger \left( \partial_t + E_N \cos(\phi/2) - \partial_x - E_N \cos(\phi/2) \right) \psi, \quad (17)$$

where  $\psi^T = (\psi_L, \psi_R)$ , subjected to anti-periodic boundary conditions  $\psi(t, x) = -\psi(t, x + 2\pi)$ . Evidently, we have  $\det[\mathcal{K}_F(\phi)] = \sqrt{\det[\mathcal{L}_F(\phi)]}$ , which can be shown explicitly by using the fact  $\det[\mathcal{L}_F] = \prod_i \lambda_i$ , where  $\lambda_i$  are eigenvalues of the differential equations

$$\mathcal{L}_F(\phi) \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = \lambda_i \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}. \quad (18)$$

The eigenvalues  $\lambda_i$  can be obtained in the similar way as Eqs. (9), (10) and (11), and take the form  $\lambda_i = \frac{E_N \cos(\phi/2) + \epsilon_i}{2}$  for all integer  $i$ . Here,  $\epsilon_i$  correspond to  $\psi_L$  sector while  $\epsilon_i$  correspond to  $\psi_R$  sector. As expected, the product of all  $\lambda_i$  gives  $\det[\mathcal{K}_F(\phi)]$ . To facilitate the analysis, we transform the differential operator  $\mathcal{L}_F$  in the  $\psi_L$  sector to  $\mathcal{L}_F$  operator. Equivalently, we first change the amplitudes of the wave function at each lattice site,  $\psi_L$  and  $\psi_R$  with  $n \in \{1, \dots, N\}$ , in a vector form

$$\Xi = (\psi_{L1}, \psi_{L2}, \dots, \psi_{LN}, \psi_{R1}, \psi_{R2}, \dots, \psi_{RN})^T. \quad (19)$$

Then, the difference equation corresponding to Eq. (18) take the form  $\mathcal{L}_F \Xi = \lambda_i \Xi$ . We then have

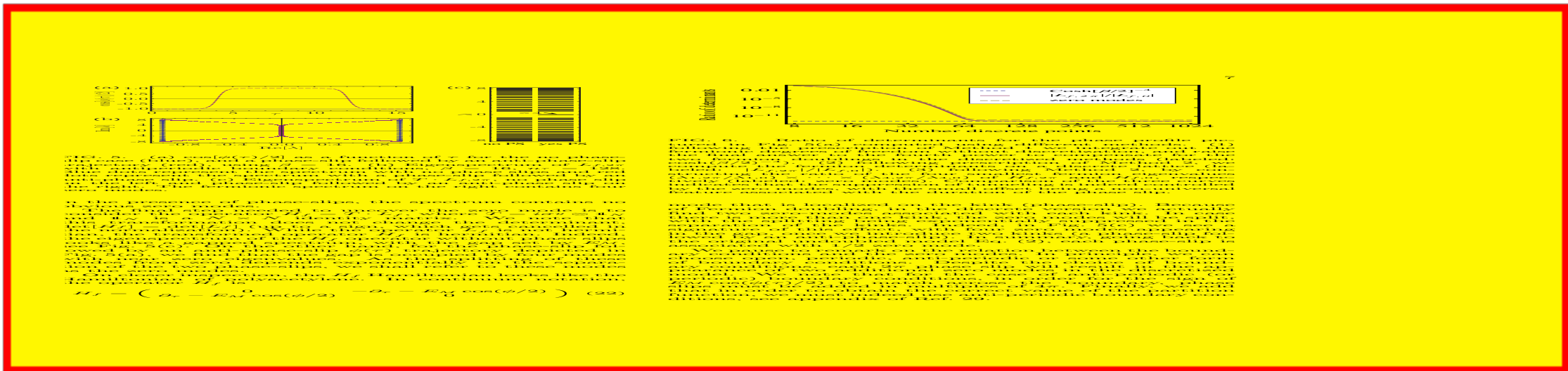
$$\mathcal{L}_F = \begin{bmatrix} \frac{1}{2\pi} (\delta_{i,j+1} - \delta_{i,j-1}) + \Delta \delta_{i,j} \\ \frac{1}{2\pi} (\delta_{i,j+1} - \delta_{i,j-1}) - \Delta \delta_{i,j} \end{bmatrix}, \quad (20)$$

where  $i, j \in \{1, \dots, N\}$ ,  $\Delta_n = \cos(\phi(n\pi/2))$  and  $\delta = \beta/N$  is the step in imaginary time. Now, the determinant of the difference operator  $\det[\mathcal{L}_F]$  is simply the product of all eigenvalues of  $\mathcal{L}_F$ .

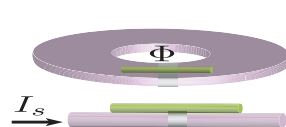
However, discretization scheme in Eq. (20) suffers from the notorious fermion doubling problem and effectively doubles the number of fermions both for  $\psi_L$  and  $\psi_R$  sector. Hence, the ratio of the determinants  $\det[\mathcal{L}_F]/N$  is not associated with  $\det[\mathcal{L}_F]$  directly. In fact, by introducing the proper normalization as in Eq. (16), we find

$$\frac{\det[\mathcal{K}_{F,2\pi}]}{\det[\mathcal{K}_{F,0}]} \Big|_{N \rightarrow \infty} = \frac{\det[\mathcal{L}_{F,2\pi}]^2}{\det[\mathcal{L}_{F,0}]^2} = \frac{\det[\mathcal{K}_{F,2\pi}]^2}{\det[\mathcal{K}_{F,0}]^2}. \quad (21)$$

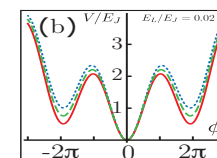
We compute the spectrum of the difference operator  $\mathcal{L}_F$  using anti-periodic boundary conditions with constant  $\phi(t)$  and with  $\phi(t)$  varying, the latter is followed by a phase slip, see Fig. 5(A). We have to use a phase slip followed by an anti-phase slip in order to make the system in any conditions on the fermions zero mode. Without phase slip, the complex plane of  $\det[\mathcal{L}_F]$  has a zero at  $\det[\mathcal{L}_F] = 0$ . In the presence of the phase slip, the eigenvalue spectrum deforms is plotted in Fig. 5(B). However,



# Topological superconducting devices



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in Fig. 8 with a shift to make all  $V^\pm(0) = 0$ . The initial condition is prepared such that the superconducting wire is at its ground state for  $\phi = 2\pi$ . Therefore, with  $E_M > 0$ , the effective action should take the sector  $S_{\text{eff}}^+$ , which will be assumed throughout the following discussions. We note that the effective potential  $V^\pm(\phi)$  behaves qualitatively different depending on  $E_L$  is greater or smaller than  $E_M/4\pi^2$ . When the inductance energy is dominant,  $E_L > E_M/4\pi^2$ , the potential has a global minimum at  $\phi = 0$  and two local minima at  $\phi = \pm 2\pi$ .

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FIG. 8. The potential profiles of  $V^\pm(\phi)$  in the region (25) are plotted by solid (red) and dotted (blue) lines for  $V^\pm$  respectively. With  $E_M/E_J = 0.25$ , the dashed (green) line is the potential (26) shown the typical situation for  $E_L > E_M/4\pi^2$  in (26). (a) shows the typical situation for  $E_L > E_M/4\pi^2$  at  $E_L/E_J = 0.02$ , where two degenerate minima exist at  $\phi = \pm 2\pi$ . The panel (b) shows the typical situation for  $E_L < E_M/4\pi^2$  with  $E_L/E_J = 0.02$ , where the potential exhibits a local  $\phi = 0$  and two local minima are around  $\phi = \pm 2\pi$ .

trapped inside the ring and  $\phi = 2\pi$ . Then, we turn off the external field at  $t = 0$  and observe the relaxation of phase from  $\phi = 2\pi$  to 0 which manifests itself as voltage pulse across the Josephson junction.

As shown in Sec. IV, the low energy fermionic degrees of freedom of the topological superconducting wire couple to the gauge invariant phase difference. The effective action is given by

$$S_{\text{eff}} = \int_0^{\beta} dt \psi^\dagger(\tau) \left[ \frac{1}{2} (i\partial_\tau + E_M \cos \phi(\tau) \sigma^x) \right] \psi(\tau). \quad (24)$$

The presence of fermions influences the tunneling rate between different phases naturally. As we showed in Sec. IV, the effect of the low energy fermion can be investigated in two routes as detailed below.

## 1. Integrating out fermions

Following procedures in Sec. IV A, we can first integrate out the fermionic action (Eq. (24)) and obtain the effective actions for  $\Phi = 0$

$$S_{\text{eff}}^+ = \int_0^{\beta} dt \left[ \frac{1}{2} \frac{1}{E_C} (\partial_\tau \phi(\tau))^2 + E_J (1 - \cos \phi(\tau)) + E_M \cos^2(\tau) + \frac{E_M}{2} \cos(\phi(\tau)/2) \right]. \quad (25)$$

in Fig. 8 with a shift to make all  $V^\pm(0) = 0$ . The initial condition is prepared such that the superconducting wire is at the ground state for  $\phi = 2\pi$ . Therefore, with  $E_M > 0$ , the effective action should take the sector  $S_{\text{eff}}^+$ , which will be assumed throughout the following discussions. We note that the effective potential  $V^\pm(\phi)$  behaves qualitatively different depending on  $E_L$  is greater or smaller than  $E_M/4\pi^2$ . When the inductance energy is dominant,  $E_L > E_M/4\pi^2$ , the potential has a global minimum at  $\phi = 0$  and two local minima at  $\phi = \pm 2\pi$ . In contrast, when the Majorana fermion energy becomes substantial,  $E_L < E_M/4\pi^2$ , there are two degenerate minima at  $\phi = \pm 2\pi$  and a local maximum at  $\phi = 0$ .

From the potential profiles in the  $E_L < E_M/4\pi^2$  regime, we find that a phase slip from  $\phi = 2\pi$  to  $\phi = 0$  is energetically unfavorable as  $V^\pm(0) > V^\pm(2\pi)$ . Instead, a phase slip of  $4\pi$ , by going between  $\phi = 2\pi$ , would lead to a stable state. As discussed earlier, such a phase slip would not change the states of a global mode of the system.

In the regime where  $E_L > E_M/4\pi^2$ , an initial state at  $\phi = 2\pi$  can relax to  $\phi = 0$  state since now  $V^\pm(0) < V^\pm(2\pi)$ . The relaxation rate is given by  $\Gamma_{\text{relax}} = K e^{-S_0}$ , where  $K$  corresponds to the attempt rate for the tunneling and  $S_0$  is the additional action evaluated along the tunneling trajectory that starts from the initial energy minimum  $\phi = 2\pi$  to the lowest point  $\phi = 0$  and then back to  $\phi = 2\pi$ . Here, the adjusted action is defined by  $S_0 = \int_{\phi=2\pi}^{\phi=0} dt V^\pm(\phi) - V^\pm(\phi)$  vanishes at the potential minimum  $\phi = 0$ . As a rough first approximation, we can assume that  $K$  is not affected by the presence of Majorana fermions and plays no role for our discussion.

To compare the relaxation rates  $\Gamma_{\text{relax}}^{\pm}$  (Majorana fermions present) and  $\Gamma_{\text{relax}}^{\pm}$  (Majorana fermions absent), we shall now compute the  $S_0$  for both cases. As the tunneling trajectory is a stationary path of the equation of motion, one can show that

$$S_0 = \int_{\phi=2\pi}^{\phi=0} dt \sqrt{2E_C} \sqrt{V^\pm(\phi)}. \quad (27)$$

In the case of  $E_L = E_M = 0$ , we have  $\phi = 2\pi$  and  $\phi = 0$ , and the action is  $S_0 = 4\sqrt{2E_C/E_J}$ . When  $E_L/E_J \ll 1$ ,  $S_0 \approx 4\sqrt{2E_C/E_J} \left[ 1 - \frac{E_L/E_J}{2} \right]$ . Qualitatively, the presence of a small rate only slightly, i.e., decreasing the action such that

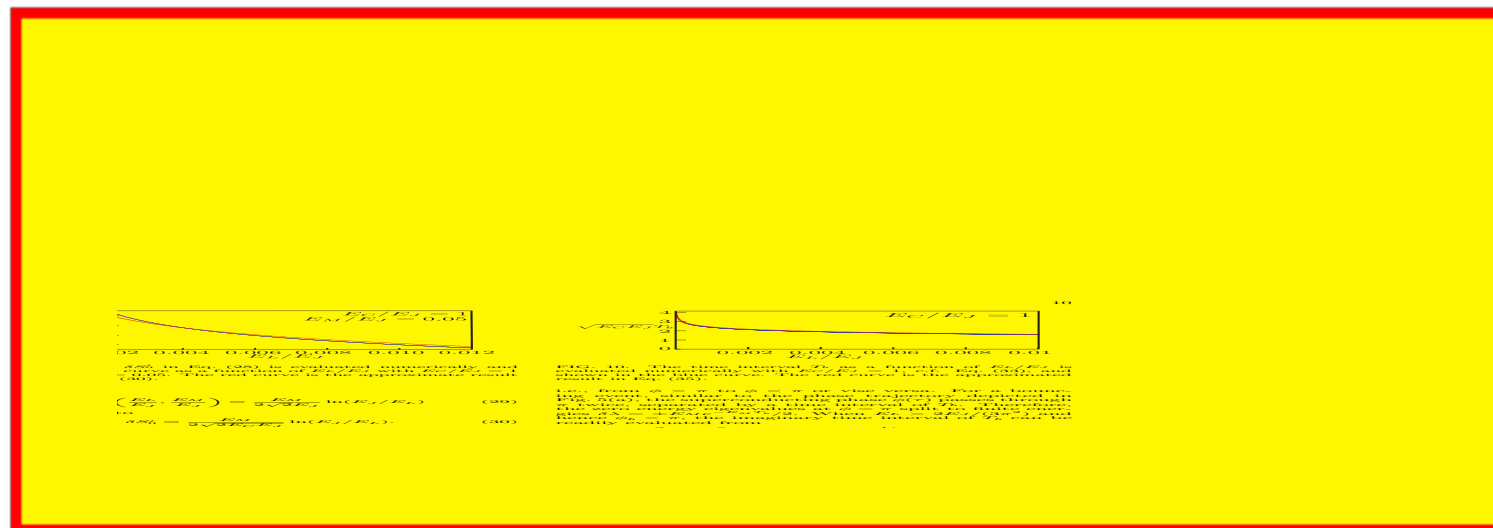




# Suppression of $2\pi$ tunneling by MF

FIG. 1. (Color online) Plot of the normalized current  $I/I_0$  versus the normalized voltage  $V/V_0$  for a quantum dot coupled to a metal Fermi sea. The current shows a characteristic Coulomb diamond structure, with the central diamond being suppressed at  $V=0$ . The inset shows the current for a different parameter regime, where the diamond structure is less pronounced.

FIG. 2. (Color online) Plot of the normalized current  $I/I_0$  versus the normalized voltage  $V/V_0$  for a quantum dot coupled to a metal Fermi sea. The current shows a characteristic Coulomb diamond structure, with the central diamond being suppressed at  $V=0$ . The inset shows the current for a different parameter regime, where the diamond structure is less pronounced.



# Conclusions

The fact that phase-slips in topological wires occur in multiples of  $4\pi$  is **well known**.

We show an alternative explanation of this fact by a beautiful analogy to spontaneous symmetry breaking of the theta vacuum in quantum chromodynamics. For the case of QCD, t'Hooft found that in the background of the instanton of the gauge field, there is a zero mode in the fermionic determinant. This zero mode results in the vanishing of the transition rate between configurations of the vacuum with different winding numbers.

Similarly, we find that in the background of a  $2\pi$  phase slip, the fermion determinant contains a "hidden" zero mode, that results in the vanishing (suppression) of the rate of  $2\pi$  phase slips.

THE END