

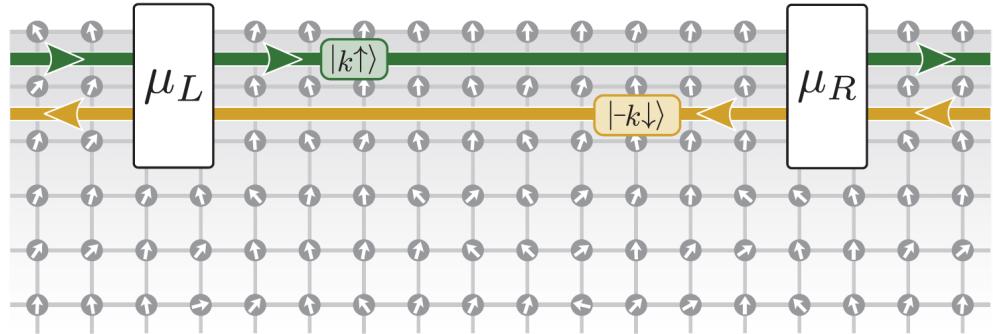
# Backscattering between helical edge states via dynamic nuclear polarization

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# Hamiltonian

$$H = H_0 + H_{\text{flip}} + H_R$$



$$H_0 = \int dx \psi_\alpha^\dagger(x) \sigma_{\alpha\beta}^z \left( -iv\hbar\partial_x + \frac{1}{2}A\langle I \rangle \right) \psi_\beta(x)$$

$$H_{\text{flip}} = \frac{A}{2\rho_n} \sum_i \psi_\alpha^\dagger(x_i) \left( \sigma_{\alpha\beta}^+ I_i^- + \sigma_{\alpha\beta}^- I_i^+ \right) \psi_\beta(x_i)$$

$$H_R = \int dx \psi_\alpha^\dagger(x) \sigma_{\alpha\beta}^y \{a(x), i\partial_x\} \psi_\beta(x)$$

$$\langle a(x)a(x') \rangle = V_R \delta(x - x')$$

# Dynamic nuclear polarization

$$\Gamma = \frac{A^2}{8\pi\hbar^3 v^2 \rho_n} \int d\epsilon \left\{ (1/2 - \langle I(t) \rangle) f_+(\epsilon) [1 - f_-(\epsilon)] - (1/2 + \langle I(t) \rangle) f_-(\epsilon) [1 - f_+(\epsilon)] \right\}$$

$$\partial_t \langle I(t) \rangle = \Gamma / \rho_n - \Gamma_d \langle I(t) \rangle / \rho_n$$

usually  $\Gamma_d \ll \Gamma$

$$\langle I(t) \rangle = \langle I \rangle (1 - e^{-t/\tau_n})$$

$$\langle I \rangle = \frac{\int d\epsilon [f_+(\epsilon) - f_-(\epsilon)]/2}{\int d\epsilon \{f_+(\epsilon) + f_-(\epsilon)[1 - 2f_+(\epsilon)]\} + \frac{\Gamma_d 8\pi\hbar^3 v^2 \rho_n}{A^2}}$$

$$\tau_n^{-1} = \frac{A^2 \int d\epsilon \{f_+(\epsilon) + f_-(\epsilon)[1 - 2f_+(\epsilon)]\}}{8\pi\hbar^3 v^2 \rho_n^2} + \frac{\Gamma_d}{\rho_n}$$

# Transport in short edges

$$f_{\pm}(\epsilon) = f_0(\epsilon - \mu_{L/R}, T) \quad f_0(\epsilon, T) = [1 + \exp(\epsilon/k_B T)]^{-1}$$

$$T = 0$$

$$\langle I \rangle = \frac{1}{2} \left[ 1 + \frac{\Gamma_d 8\pi \hbar^3 v^2 \rho_n}{A^2 (\mu_L - \mu_R)} \right]^{-1}$$

$$\Gamma_d = 0, \quad T \neq 0$$

$$\langle I \rangle = \frac{1}{2} \tanh \left[ (\mu_L - \mu_R) / 2k_B T \right]$$

$$W_{kk'} = 2\pi V_R A^2 \langle I \rangle^2 \delta(\epsilon_k - \epsilon_{k'}) / (\hbar^3 v^2 L)$$

## Total backscattering rate

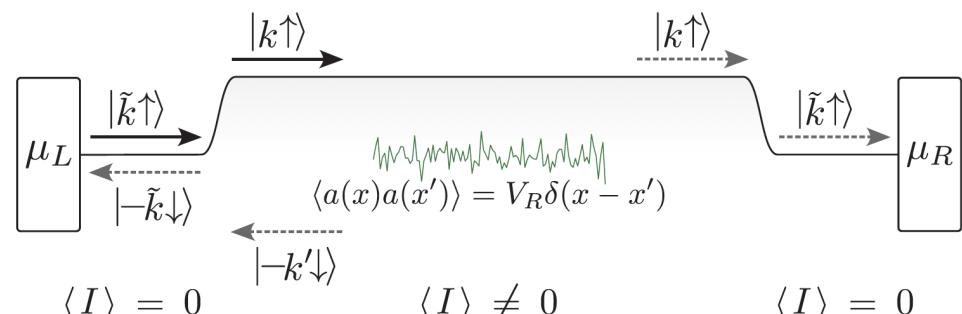
$$\frac{1}{\tau_R} \equiv \sum_{k'} W_{kk'} = A^2 \langle I \rangle^2 \frac{V_R}{\hbar^4 v^3}$$

$$\sigma = (e^2/\pi\hbar)\ell \text{ with } \ell = v\tau_R/2$$

conductance

$$G = \left( \frac{h}{e^2} + \frac{L}{\sigma} \right)^{-1}$$

$$L \ll \ell \quad \delta G = -\frac{e^2}{h} A^2 \langle I \rangle^2 \frac{V_R}{\hbar^4 v^4} L$$



# Transport in long edges

$\delta\mu$  is the difference in chemical potential between left and right movers

$$\langle I \rangle(x) = \frac{1}{2} \tanh \left( \frac{j\pi\hbar}{ek_B T(x)} \right)$$

electron distribution function  $f(k, x) = f_0(\xi_k, m_z, T(x)) + \delta f(k, m_z, x)$

$m_z = \pm 1/2$  is  $z$  component of spin

$$\xi_k = \epsilon_k + \text{sgn}(m_z) A \langle I \rangle(x) - \mu(x)$$

Boltzmann equation  $v_k \partial_x f_0 = -\delta f 2 / \tau_R$

$$\delta f(k, x) = \frac{\tau_R}{2} \delta(\xi_k) \left[ \text{sign}(k) A \partial_x \langle I \rangle - \partial_x \mu - \frac{\xi_k}{T^2} \partial_x T \right]$$

**Current**       $j = e \int \frac{dk}{2\pi} v_k \delta f$

**Conductivity**    $\sigma(x) = \frac{e^2}{\pi \hbar} \frac{\hbar^4 v^4}{2V_R} \frac{1}{A^2 \langle I \rangle^2(x)}$

If  $T(x) \equiv T$

$$j^3 = \frac{e}{\pi \hbar} \frac{2\hbar^4 v^4}{V_R} \left( \frac{ek_B T}{A\pi \hbar} \right)^2 \left( -\frac{\partial \mu}{\partial x} \right)$$

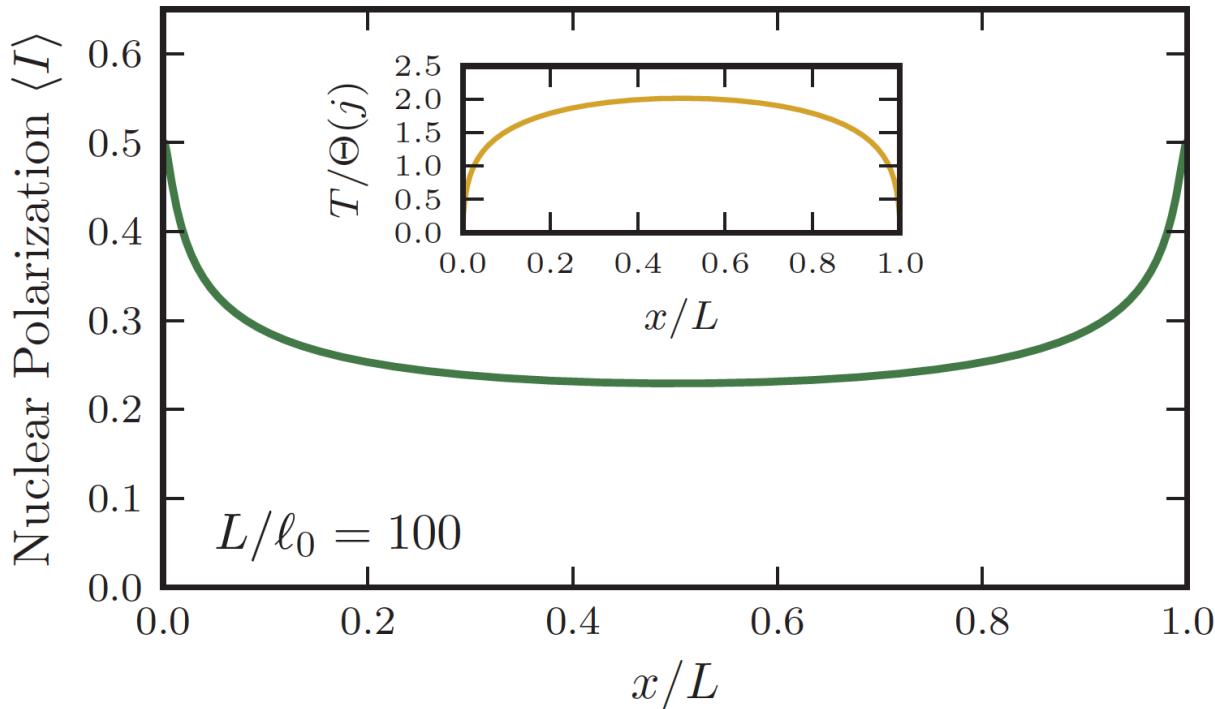
if  $\sigma/L \ll e^2/h$ ,    $j \propto T^{2/3} \mu^{1/3}$

# Heat current $j_Q$

$$j_Q = -\kappa \partial_x T, \text{ where } \kappa = \sigma T (k_B/e)^2 (\pi^2/3)$$

$$\partial_x j_Q = j^2 / \sigma$$

$$-\sigma(x) \partial_x [\sigma(x) \partial_x T^2(x)] = j^2 \left( \frac{e}{k_B} \right)^2 \frac{6}{\pi^2}$$



$$\begin{aligned} T(0) &= T(L) = 0, \\ \ell_0 &= (\hbar v)^4 / (2V_R A^2), \\ \bar{x} &\equiv x/\ell_0, \\ t(\bar{x}) &\equiv T(x)/\Theta(j) \\ \Theta(j) &= j\pi\hbar/e k_B \end{aligned}$$

# Conclusions

- We have studied the mechanism by which spin-polarized edge states in a 2D topological insulator may lead to a dynamic polarization of nuclear spins through the hyperfine interaction.
- The resulting effective local Zeeman field in combination with Rashba potential disorder leads to a channel for backscattering between helical edge states.
- For short edges we find a reduction in the conductance  $\delta G \propto -A^2 \langle I \rangle^2 V_R$
- For long edges at finite temperature we have uncovered a nonlinear current voltage relation  $j \propto T^{2/3} \mu^{1/3}$
- The persistence of the proposed scattering mechanism to T=0 implies that it could be a limiting factor on transport in electronics based on topologically protected edge states in two dimensions.